#### 103D CONGRESS 2D SESSION

# H. R. 4894

To prohibit unauthorized fixation of sound recordings and music videos of live musical performances and provide copyright protection in restored works, and for certain other purposes.

#### IN THE HOUSE OF REPRESENTATIVES

AUGUST 3, 1994

Mr. Hughes introduced the following bill; which was referred to the Committee on the Judiciary

## A BILL

To prohibit unauthorized fixation of sound recordings and music videos of live musical performances and provide copyright protection in restored works, and for certain other purposes.

- 1 Be it enacted by the Senate and House of Representa-
- 2 tives of the United States of America in Congress assembled,
- 3 **SECTION 1. SHORT TITLE.**
- 4 This Act may be cited as the "General Agreement
- 5 on Tariffs and Trade Copyright Act of 1994".
- 6 SEC. 2. RENTAL RIGHTS IN COMPUTER PROGRAMS.
- 7 Section 804(c) of Public Law 101–650, 104 Stat.
- 8 5136, is amended by striking the first sentence.

### TITLE I—FEDERAL ANTI-1 **BOOTLEG PROVISIONS** 2 SEC. 101. SHORT TITLE. This title may be cited as the "Federal Anti-Bootleg 4 Act of 1994". 5 SEC. 102. UNAUTHORIZED FIXATION OF AND TRAFFICKING 7 IN SOUND RECORDINGS AND MUSIC VIDEOS 8 OF LIVE MUSICAL PERFORMANCES. 9 Title 18, United States Code, is amended by adding 10 the following: 11 "§ 2319A. Unauthorized fixation of and trafficking in 12 sound recordings and music videos of 13 live musical performances 14 "(a) Whoever, without the consent of a featured performer, knowingly and for purposes of commercial advantage or private financial gain— "(1) fixes the sounds or sounds and images of 17 a live musical performance in a copy or phonorecord, 18 or reproduces phonorecords or copies of such a per-19 formance from an unauthorized fixation; 20 21 "(2) transmits or otherwise communicates to the public the sounds or sounds and images of a live 22 musical performance; or 23 "(3) distributes or offers to distribute, sells or 24

offers to sell, rents or offers to rent, or traffics any

- 1 copy or phonorecord fixed without the consent of a
- 2 featured performer, regardless of whether the fixa-
- 3 tions occurred in the United States;
- 4 shall, upon judgment of conviction, be fined not more than
- 5 \$250,000 or imprisoned for not more than 5 years, or
- 6 both.
- 7 "(b) When a person is convicted of a violation of sub-
- 8 section (a), the court shall in its judgment of conviction
- 9 order the forfeiture and destruction of any copies of
- 10 phonorecords created in violation thereof, as well as any
- 11 plates, molds, matrices, masters, tapes, and film negatives
- 12 by means of which such copies or phonorecords may be
- 13 made. The court may also, in its discretion, order the for-
- 14 feiture and destruction of any other equipment by means
- 15 of which such copies or phonorecords may be reproduced,
- 16 taking into account the nature, scope, and proportionality
- 17 of the use of the equipment in the offense.
- 18 "(c) If copies or phonorecords of sounds or sounds
- 19 and images of a live musical performance are fixed outside
- 20 of the United States without the consent of a featured
- 21 performer, such copies or phonorecords are subject to sei-
- 22 zure and forfeiture in the same manner as property im-
- 23 ported in violation of the customs revenue laws. The Sec-
- 24 retary of the Treasury and the United States Postal Serv-
- 25 ice shall, separately or jointly, make regulations for the

- 1 enforcement of the provisions of this subsection, including
- 2 regulations by which any featured performer may, upon
- 3 payment of a specified fee, be entitled to notification by
- 4 the United States Customs Service of the importation of
- 5 phonorecords or copies that appear to consist of unauthor-
- 6 ized fixations of the sounds or sounds and images of a
- 7 live musical performance.
- 8 "(d) As used in this section—
- 9 "(1) The terms 'copy', 'fixed', 'musical work',
- 10 'phonorecord', 'reproduce', 'sound recordings', and
- 11 'transmit' have the same meanings given such terms
- in section 101 of title 17, United States Code.
- 13 "(2) The term 'traffic' means transport, trans-
- 14 fer, or otherwise dispose of, to another, as consider-
- ation for anything of value, or make or obtain con-
- trol of with intent to transport, transfer, or dispose
- 17 of.
- 18 "(e) This section shall apply to the following acts that
- 19 occur 1 year after the entry into force of the World Trade
- 20 Organization Agreement—
- 21 "(1) live musical performances fixed without
- 22 the consent of a featured performer;
- 23 "(2) distributions, offers to sell, sales, offers to
- sell, rentals, offers to rent, or trafficking in any copy
- or phonorecord fixed without the consent of a fea-

1	tured performer, regardless of when the fixation oc-
2	curred; and
3	"(3) transmissions or other communications to
4	the public of sounds or sounds and images of a live
5	musical performance fixed without consent of a fea-
6	tured performer.".
7	TITLE II—COPYRIGHT IN
8	<b>RESTORED WORKS</b>
9	SEC. 201. SHORT TITLE.
10	This title may be cited as the "Berne and GATT
11	Retroactivity Act of 1994".
12	SEC. 202. RESTORED WORKS.
13	(a) IN GENERAL.—Section 104A of title 17, United
14	States Code, is amended to read as follows:
15	"SEC. 104A. COPYRIGHT IN RESTORED WORKS.
16	"(a) Automatic Protection and Term.—
17	"(1) TERM.—Copyright subsists, in accordance
18	with this section, in restored works, and vests auto-
19	matically on the date of restoration.
20	"(A) Copyright in restored works published
21	or registered with the Copyright Office before
22	January 1, 1978, shall endure for a term of 75
23	years from the date of first publication or reg-
24	istration as the case may be.

1	"(B) Copyright in works created on or
2	after January 1, 1978, shall endure for the
3	term of protection established in section 302.
4	"(2) Exception.—No work in which the copy-
5	right was ever owned or administered by the Alien
6	Property Custodian and in which the restored copy-
7	right would be owned by a government or instrumen-
8	tality thereof, shall be a restored work.
9	"(b) Ownership of Restored Copyright.—A re-
10	stored work vests initially in the author of the work as
11	determined according to the law of its source country.
12	"(c) Filing of Notice of Intent to Enforce
13	RESTORED COPYRIGHT AGAINST RELIANCE PARTIES.—
14	Any person owning copyright in a restored work or an ex-
15	clusive right therein may file with the Copyright Office
16	a notice of intent to enforce that copyright against reliance
17	parties. Acceptance of a notice by the Copyright Office
18	shall not create a presumption of the validity of any of
19	the facts stated therein.
20	"(d) Remedies for Infringement of Restored
21	Copyrights.—
22	"(1) Enforcement of copyright in re-
23	STORED WORKS IN THE ABSENCE OF A RELIANCE
24	PARTY.—As against any party who is not a reliance
25	party, the remedies provided in chapter 5 of this

1	title shall be available immediately upon restoration
2	with respect to any infringing act commenced on or
3	after the date of restoration.
4	"(2) Enforcement of copyright in re-
5	STORED WORKS AS AGAINST RELIANCE PARTIES.—
6	As against a reliance party, subject to paragraph
7	(3), the remedies provided in chapter 5 of this title
8	shall be available upon restoration—
9	"(A)(i) if the owner of the restored work
10	files with the Copyright Office, between the
11	date of restoration and 24 months thereafter, a
12	notice of intent to enforce a restored work; and
13	"(ii) the act of infringement commenced on
14	or after 12 months from the date of publication
15	of the notice in the Federal Register;
16	"(B)(i) if the owner of the copyright in the
17	restored work or an exclusive right therein
18	serves upon that reliance party a notice of in-
19	tent to enforce a restored work; and
20	"(ii) the act of infringement commenced
21	prior to receipt of the notice;
22	"(C) if copies of a restored work are made
23	after publication of the notice of intent in the
24	Federal Register; or

1 "(D) in the case of a particular reliance 2 party, after receipt of a notice of intent to en-3 force the restored work.

"(3) COMMENCEMENT OF INFRINGEMENT FOR RELIANCE PARTIES.—For purposes of section 412, in the case of reliance parties, infringement shall be deemed to have commenced prior to registration when acts which would have constituted infringement were committed prior to the date of the restoration and continued after such date.

11 "(e) Notices of Intent to Enforce a Restored 12 Copyright.—

"(1) Notices of intent filed with the Copyright Office to enforce a restored work shall be signed by the owner of the copyright or the owner of the exclusive right filing the notice and shall identify the title of the restored work. If the notice is signed by an agent, the agency relationship must have been constituted in a writing signed by the owner of the restored work or the owner of the exclusive right therein prior to the filing of the notice. The notice may contain any other information specified in regulations established by the Register of Copyrights pursuant to this section.

"(ii) If a restored work has no formal title, it shall be described in the notice of intent in detail sufficient to aid in its identification. Minor errors or omissions may be corrected after the period established in subsection (d)(2)(A) and shall be published by the Register of Copyrights in the Federal Register pursuant to subparagraph (B).

"(B) (i) The Register of Copyrights shall publish in the Federal Register, commencing not later than 4 months after the date of the Agreement on Trade-Related Aspects of Intellectual Property of the General Agreement on Tariffs and Trade becomes effective with respect to the United States and every 4 months thereafter, lists identifying restored works and the ownership thereof if a notice of intent to enforce a restored work has been filed.

- "(ii) Not less than 1 list containing all notices of intent to enforce a restored work filed with the Copyright Office shall be maintained in the Public Information Office of the Copyright Office and shall be available for inspection and copying during regular business hours pursuant to sections 705 and 708.
- "(C) The Register of Copyrights is authorized to fix reasonable fees based on the costs of receipt,

1	processing, recording, and publication of notices of
2	intent to enforce a restored work.
3	"(D)(i) Not later than 30 days after the date

- "(D)(i) Not later than 30 days after the date the Agreement on Trade-Related Aspects of Intellectual Property of the General Agreement on Tariffs and Trade becomes effective with respect to the United States, the Copyright Office shall establish and publish in the Federal Register regulations governing the filing under this subsection of notices of intent to enforce a restored work.
- "(ii) Such regulations shall permit owners of restored works to simultaneously obtain registration for a claim of copyright in the restored work.
- "(2) NOTICES OF INTENT SERVED ON A RELI-ANCE PARTY.—
  - "(A) Notices of the intent to enforce a restored work may be served by the copyright owner of the restored work or by the owner of any exclusive right therein on a reliance party.
  - "(B) Such notice shall identify the restored work and the use to which the owner objects and shall include an address and telephone number at which the reliance party may contact the owner.

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1	"(f) Immunity From Warranty and Related Li-
2	ABILITY.—An individual who warranted, promised, or
3	guaranteed that a work that such individual created did
4	not violate 1 of the exclusive rights granted in section 106,
5	shall not be liable for legal, equitable, arbitral, or adminis-
6	trative relief if the warranty, promise, or guarantee is
7	breached by virtue of the restoration of copyright under
8	this section.
9	"(g) Definitions.—For purposes of this section and
10	section 109(a):
11	"(1) The term 'date of adherence' means the
12	earlier of the dates upon which a foreign country
13	that is not a member of the Berne Union or the
14	World Trade Organization, as of the date of the en-
15	actment of the General Agreement on Tariffs and
16	Trade Intellectual Property Act of 1994, becomes a
17	member of the Berne Union or the World Trade Or-
18	ganization.
19	"(2) The term 'date of restoration' of a re-
20	stored copyright means—
21	"(A) the date the Agreement on Trade-Re-
22	lated Aspects of Intellectual Property of the
23	General Agreement on Tariffs and Trade be-
24	comes effective with respect to the United

States, if the work is a restored work on such date; or

"(B) the date of adherence.

"(3) The term 'eligible country' means a country, other than the United States, which, on the date that copyright is restored under the provisions of this section, has joined the World Trade Organization or adhered to the Berne Convention for the Protection of Literary and Artistic Works.

"(4) The term 'reliance party' means any person who, prior to the date the Agreement on Trade-Related Aspects of Intellectual Property of the General Agreement on Tariffs and Trade becomes effective with respect to the United States, or who, prior to the date of adherence of a source country which became an eligible country after the date of the enactment of such Act—

"(A) engaged in acts which would have violated section 106 if the restored work had been subject to copyright protection, and who, after the date the Agreement on Trade-Related Aspects of Intellectual Property of the General Agreement on Tariffs and Trade becomes effective with respect to the United States, or after

1	the date of adherence, continued to engage in
2	such acts; or
3	"(B) made substantial monetary invest-
4	ments in a creation of a work which incor-
5	porates material portions of a restored work.
6	"(5) The term 'restored work' means an origi-
7	nal work of authorship that—
8	"(A) is protected under subsection (a);
9	"(B) is not in the public domain in its
10	source country;
11	$\mbox{``(C)}$ is in the public domain in the United
12	States due to—
13	"(i) noncompliance with formalities
14	imposed at any time by United States
15	copyright law, including failure of renewal,
16	lack of proper notice, or failure to comply
17	with any manufacturing requirement; or
18	"(ii) lack of subject matter protection
19	in the case of sound recordings fixed before
20	February 15, 1972; and
21	"(D) has not less than 1 author who was,
22	at the time the work was created, a national or
23	domiciliary of an eligible country, and if pub-
24	lished, was first published in an eligible country
25	but not published in the United States during

1	the 30-day period following publication in such
2	eligible country.
3	"(6) The term 'source country' of a restored
4	work means—
5	"(A) a country other than the United
6	States;
7	"(B) in the case of an unpublished work—
8	"(i) the eligible country in which the
9	author is a national or domiciliary, or, if a
10	restored work has more than 1 author, the
11	majority of foreign authors are nationals
12	or domiciliaries of such eligible countries;
13	or
14	"(ii) if the majority of authors are not
15	foreign, the source country shall be the
16	country, other than the United States,
17	which has the most significant contacts
18	with the work; and
19	"(C) in the case of a published work, the
20	eligible country in which the work is first pub-
21	lished, or if the restored work is published on
22	the same day in 2 or more eligible countries,
23	the source country shall be the country, other
24	than the United States, which has the most sig-
25	nificant contacts with the work.".

- 1 (b) LIMITATION.—Section 109(a) of title 17, United
- 2 States Code, is amended by adding at the end the follow-
- 3 ing:
- 4 "(e) the sale or other disposition without the author-
- 5 ization of the owner of a restored work of copies or
- 6 phonorecords manufactured before the date of restoration
- 7 of works in which copyright has been restored under sec-
- 8 tion 104A may be sold or otherwise disposed of only dur-
- 9 ing the period specified in section 104A(d)(3), and after
- 10 such period, only as part of a sale or disposition of not
- 11 more than 1 copy or phonorecord at a time.".

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